

10/594011
IAP9 Rec'd PCT/PTO 25 SEP 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q97191

Ikuro YAMAMOTO, et al

Appln. No.: National Stage of PCT/JP2005/005495

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: September 25, 2006

Examiner: Unknown

For: SURFACE TREATING AGENT, FLUORINE-CONTAINING MONOMER AND FLUORINE-CONTAINING POLYMER

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

10/594011
IAP9 Rec'd PCT/PTO 25 SEP 2006

INFORMATION DISCLOSURE STATEMENT
Appln. No.: National Stage of PCT/JP2005/005495
Attorney Docket No. Q97191

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP2005/005495 dated July 5, 2005), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

Also, in compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants advise that EP 0 333 083 A2, EP 0 294 648 A2, and EP 0 337 474 A2 are English language equivalent of JP 1-315471 A, JP 64-56711 A and, JP 2-28270 A, respectively, and that EP 0 247 489 A2 corresponds to JP 63-99285 A.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

10/594011
IAP9 Rec'd PCT/PTO 25 SEP 2006

INFORMATION DISCLOSURE STATEMENT
Appln. No.: National Stage of PCT/JP2005/005495
Attorney Docket No. Q97191

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



Abraham J. Rosner
Registration No. 33,276

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE
23373
CUSTOMER NUMBER

Date: September 25, 2006

10/594011

MODIFIED PTO/SB/08 A & B (08-03)

IAP9 Rec'd PCT/PTO 25 SEP 2006
Complete by Examiner

Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

Application Number	National Stage of PCT/JP2005/005495
Confirmation Number	Unknown
Filing Date	September 25, 2006
First Named Inventor	Ikuo YAMAMOTO
Art Unit	Unknown
Examiner Name	

Attorney Docket Number Q97191

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 3,655,752		04/11/1972	CHRISTIAN SCRIVER ROUNDESTVEDT, JR.
		US 3,773,826		11/20/1973	CHRISTIAN SCRIVER ROUNDESTVEDT, JR.
		US 3,916,053		10/28/1975	PATSY O. SHERMAN, ET AL
		US 5,439,998	A	08/08/1995	MARIE-JOSE LINA, ET AL
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	1-315471	A	12/20/1989	DAIKIN INDUSTRIES, LTD.	Abstract
		EP	0 333 083	A2	09/20/1989	DAIKIN INDUSTRIES, LIMITED	
		JP	64-56711	A	03/03/1989	DAIKIN INDUSTRIES, LTD.	
		EP	0 294 648	A2	12/14/1988	DAIKIN INDUSTRIES LIMITED	
		JP	2-28270	A	01/30/1990	DAIKIN INDUSTRIES, LTD.	Abstract
		EP	0 337 474	A2	10/18/1989	DAIKIN INDUSTRIES, LIMITED	
		WO	03/022906	A1	03/20/2003	DAIKIN INDUSTRIES, LTD.	Abstract
		JP	63-90588	A	04/21/1988	DAIKIN IND. LTD.	Abstract
		EP	0 247 489	A2	12/02/1987	DAIKIN INDUSTRIES, LIMITED	
		JP	63-99285	A	04/30/1988	DAIKIN IND. LTD.	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		TAKASHIGE MAEDAWA, "FLUORINE-CONTAINING SURFACE MODIFYING AGENTS", FINE CHEMICAL, VOL. 23, NO. 6, 1994, PAGES 12-25	Abstract
		US. ENVIRONMENTAL PROTECTION AGENCY, OFFICE OF POLLUTION PREVENTION AND TOXICS., RISK ASSESSMENT DIVISION, PRELIMINARY RISK ASSESSMENT OF THE DEVELOPMENTAL TOXICITY ASSOCIATED WITH EXPOSURE TO PERFLUOROOCTANOIC ACID AND ITS SALTS, April 10, 2003, PAGES 1-61, http://www.epa.gov/opptintr/pfoa/pfoara.pdf	
		US. ENVIRONMENTAL PROTECTION AGENCY, "PERFLUOROOCTANOIC ACID (PFOA), FLUORINATED TELOMERS; REQUEST FOR COMMENT, SOLICITAION OF INTERESTED PARTIES FOR ENFOCEABLE CONSENT AGREEMENT DEVELOPMENT, AND NOTICE OF PUBLIC MEETING", FEDERAL REGISTER, VOL. 68, NO. 73, Wednesday, April 16, 2003 / NOTICES, PAGES 18626-18633, [FRL-7303-8], http://www.epa.gov/opptintr/pfoa/pfoafr.pdf	

Examiner Signature	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.

10/594011

IAP9 Rec'd PCT/PTO 25 SEP 2005

MODIFIED PTO/SB/08 A & B (08-03)

Substitute for Form 1449 A & B/PTO				<i>Complete if Known</i>	
<u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u>				Application Number	National Stage of PCT/JP2005/005495
				Confirmation Number	Unknown
				Filing Date	September 25, 2006
				First Named Inventor	Ikuo YAMAMOTO
				Art Unit	Unknown
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	Q97191

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
		US. ENVIRONMENTAL PROTECTION AGENCY, "EPA INTENSIFIES SCIENTIFIC INVESTIGATION OF A CHEMICAL PROCESSING AID", EPA ENVIRONMENTAL NEWS, Monday April 14, 2003., 3 PAGES, http://www.epa.gov/opptintr/pfoa/pfoaprs.pdf	
		US. ENVIRONMENTAL PROTECTION AGENCY, EPA OPPT FACT SHEET, April 14, 2003., http://www.epa.gov/opptintr/pfoa/pfoafacts.pdf	

Examiner Signature _____ **Date Considered** _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³Enter Office that issued the document by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.